



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

| APPLICATION NO.  | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.             | CONFIRMATION NO.            |
|--|-------------|----------------------|---------------------------------|-----------------------------|
| 10/596,780   | 06/23/2006  | Shinji Inoue         | P30152                          | 1404                        |
| 52123 7590 11/06/2008<br>GREENBLUM & BERNSTEIN, P.L.C.<br>1950 ROLAND CLARKE PLACE<br>RESTON, VA 20191 |             |                      | EXAMINER<br>VO, TRUONG V        |                             |
|  |             |                      | ART UNIT<br>2169                | PAPER NUMBER                |
|  |             |                      | NOTIFICATION DATE<br>11/06/2008 | DELIVERY MODE<br>ELECTRONIC |

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

gbpatent@gbpatent.com  
pto@gbpatent.com

|                              |                                      |                                     |  |
|------------------------------|--------------------------------------|-------------------------------------|--|
| <b>Office Action Summary</b> | <b>Application No.</b><br>10/596,780 | <b>Applicant(s)</b><br>INOUE ET AL. |  |
|                              | <b>Examiner</b><br>TRUONG V. VO      | <b>Art Unit</b><br>2169             |  |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 26 September 2008.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-25 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-25 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 23 June 2006 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

### **DETAILED ACTION**

1. This action is in response to communications filed September 22, 2008.

#### ***Continued Examination Under 37 CFR 1.114***

2. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on August 27, 2008 has been entered.

#### ***Response to Arguments***

3. Applicant's arguments with respect to claims 1-25 have been considered but are moot in view of the new ground(s) of rejection.

#### ***Status of Claims***

4. Claims 1-25 are pending, of which claims 1, 13 and 25 are in independent form. Claims 1-25 are rejected under 35 U.S.C. 103 (a).

#### ***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

Art Unit: 2169

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims 1-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Matsushima et al. (US 2002/0165825 A1) in view of Morita et al. (US 2002/0069205 A1).

7. **Regarding claim 1**, Matsushima teaches a data processing apparatus (license management apparatus 1) for reading from a recording medium (SD memory card 2) a content (content) which is stored in a specified recording area of the recording medium (SD memory card 2) and playing back the read content [0048]), the recording medium (SD memory card 2) storing according to a specified format contents and management information (management information) of the contents, the data processing apparatus (license management apparatus 1) (i.e., see FIG.1, [0041] and [0048]).

Matsushima teaches a content processor that reads management information from the recording medium, and reads the content according to the management information from the recording medium to process the read content (i.e., the apparatus 1 reads management information from the memory card 2, and reads the content according to the right management information from the memory card 2 to process the read content; [0041]).

Matsushima teaches a link information setting section (i.e., as shown in FIG. 13 there is a link between the track and title; [0105]).

Art Unit: 2169

Matsushima teaches a management information storing section that stores the management information which is read from the recording medium, using an identification number specific to the recording medium, so that the management information can be managed, (i.e., the migration procedure is retrieving the audio object from the recording medium, generating right management information about the audio object, and writing the audio object and the right management information in correspondence into the storage unit. The SD memory card 2 is a recording medium into which a unique identifier (hereinafter "media ID") for identifying the individual recording medium is written, and is composed of a protected area which can be accessed only by devices in the system which are accepted as being authentic (the license management apparatus 1, and the PD 3), and a user data area which can be accessed not only by authentic devices, but also by devices that are not authentic; [0009] and [0042]).

However, Matsushima does not explicitly disclose a search section that, when the content processor reads a content, searches, for the content, a specified search range, if the content to be read is managed by the management information but not present in the specified recording area.

Meanwhile, Morita teaches information processing apparatus and method, and program storing medium, and particularly relates to an information processing apparatus and method, and program storing medium; [0002]. This is similar to Matsushima teaching because of a recording medium, a license management apparatus, and a recording and playback apparatus; [0001].

Art Unit: 2169

Furthermore, Morita teaches a search section that, when the content processor reads a content, searches, for the content, a specified search range, if the content to be read is managed by the management information but not present in the specified recording area (i.e., in response to the request from the playing processing unit 65 or transfer processing unit 66, the music piece managing unit 62 searches for the music piece file corresponding to the file name from music piece file storing units 64-1 through 64-3, based on the file name obtained from the database 63; [0043]).

Morita teaches wherein when the content is found by the search section, the link information setting section updates the link information for relating the recording area of the found content to the specified recording area so as to enable access to the content with the management information (i.e., in step S10, in the event that judgment is made that the file name Fn (G:.Yen.My Music.Yen.Album.Yen.01-Song-a.omg) obtained by the processing in step S8 exists in the music piece file storing unit 64-2 referred to by the processing in step S9, the processing proceeds to step S11, and the music piece managing unit 62 updates the file name Fn generated by the processing in step S8 to a new file name F. That is, the file name recorded at the time of registration was "F:.Yen.My Music.Yen.Album.Yen.01-Song-a.omg" since the drive letter at that point was F, but the drive letter has been changed to G due to attaching or removing drives and so forth, so the file name is updated to a new file name "G:.Yen.My Music.Yen.Album.Yen.01Song-a.omg"; [0066]).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made, having the teachings of Matsushima and Morita before him/her, to

Art Unit: 2169

modify the apparatus of Matsushima with the teaching of Morita to search for a specified format. The motivation to combine is apparent in Matsushima reference, because of a search procedure that specifies a plurality of AOBs which correspond to the same content ID; (see Matsushima, [0090]). This is a tremendously advantageous to Matsushima because a desired file can be readily searched for even in the event that the drive configuration has been changed; (see Morita, [0002]).

8. **Regarding claim 2**, Matsushima teaches the management information includes play list information for specifying playback sequence of contents, and track information including meta information relating to the contents (i.e., AOB and a corresponding piece of playback control information are written into the user data area 6. A title key entry (hereinafter "TKE") corresponding to each AOB is written into the protected area 7...a content ID which is an identifier for identifying the SDMI protected content which corresponds to the AOB...the corresponding TKE, and the playback control information is called a " track"; [0048]).

9. **Regarding claim 3**, Matsushima teaches a recording area in the recording medium (i.e., FIG. 9 shown the physical layer of the SD memory Card. The specified search range in the SD memory Card is the User Data Area).

Art Unit: 2169

10. **Regarding claim 4**, Matsushima teaches a recording area (User Data Area) of a recording medium (SD Memory Card) which is incorporated in the data processing apparatus (license management apparatus 1) (i.e., see FIG. 1 and FIG. 9).

11. **Regarding claim 5**, Matsushima teaches a recording area (User Data Area) of a device (SD Memory Card) which is connected to the data processing apparatus (license management apparatus 1) directly or through a network; (i.e., see FIG. 1 and FIG. 9).

12. **Regarding claim 6**, Matsushima teaches the recording medium (SD Memory Card 2) is a detachable recording medium (i.e., as shown in FIG. 1 SD memory card 2 is detachable).

13. **Regarding claim 7**, Matsushima teaches a data storing section (User Data Area) that stores contents which conform to a specified standard format, wherein the content processor (license management apparatus 1) reads the content from the recording medium (SD memory card 2) or the data storing section (User Data Area) according to the management information (right management information) to process the read content, and when the content processor (license management apparatus 1) reads a content, the search section [0090] searches the recording medium (SD memory card 2) or the data storing section (User Data Area) for the content, if the content to be read is managed by the management information (right management information) but not present in the specified recording area (User Data Area) (i.e., see FIG. 1 and 9).



14. **Regarding claim 8**, Matsushima teaches the content is stored by priority in the recording medium (i.e., as shown in FIG. 20 the content is stored by priority in the SD memory card 2).

Matsushima teaches after free area of the recording medium (SD memory card 2) becomes less than a predetermined value, the content is stored in the data storing section (User Data Area), and the management information (right management information) for managing the content stored in the recording medium (SD memory card) and the data storing section (User Data Area) is stored in the recording medium (SD memory card) (i.e., when the free area of the SD card becomes less than a predetermined value the content will stored in the user data area; see FIG. 1, FIG. 9 and [0123]).

15. **Regarding claim 9**, Matsushima teaches the content processor (license management apparatus 1) reads the content with reference to the management information (right management information) stored in the management information storing section (7) (i.e., the license management apparatus 1 is composed of local storage which can store a plurality of sets of SDMI protected content and right management information (hereinafter "RMI"), and an LCM, and performs check-in and check-out; see FIG. 1, FIG. 4 and [0041]).

Matsushima teaches link information setting section sets the link information on the management information storing section (21) (i.e., FIG. 4 clearly show a link

Art Unit: 2169

information setting section sets the link information on the management information storing section).

16. **Regarding claim 10**, Matsushima teaches when the identification number (AOB SA1.KEY) specific to the recording medium (SD memory card) which is stored in the management information storing section (right management information) is different from an identification number (AOB 001.SA1) specific to a recording medium (SD memory card) to be loaded into the data processing apparatus (license management apparatus 1), the search section and link information setting section set the link information (i.e., see FIG. 1, FIG. 4 and FIG. 9).

17. **Regarding claim 11**, Matsushima teaches the recording medium has a copyright protection function (i.e., the recording medium have copyright protection function; [0044]).

18. **Regarding claim 12**, Matsushima teaches the management information manages content ID which is identification information uniquely assigned to each content, and the search section searches for a content to be played back using the content ID (i.e., the TKE includes the encryption key used to encrypt the AOB, a content ID which is an identifier for identifying the SDMI protected content which corresponds to the AOB; [0048]).

Art Unit: 2169

19. **Regarding claim 13**, is essentially the same as claim 1 except that it sets forth the claimed invention as a method rather than a data processing apparatus and rejected for the same reasons as applied hereinabove.

20. **Regarding claim 14**, is essentially the same as claim 2 except that it sets forth the claimed invention as a method rather than a data processing apparatus and rejected for the same reasons as applied hereinabove.

21. **Regarding claim 15**, is essentially the same as claim 3 except that it sets forth the claimed invention as a method rather than a data processing apparatus and rejected for the same reasons as applied hereinabove.

22. **Regarding claim 16**, is essentially the same as claim 4 except that it sets forth the claimed invention as a method rather than a data processing apparatus and rejected for the same reasons as applied hereinabove.

23. **Regarding claim 17**, is essentially the same as claim 5 except that it sets forth the claimed invention as a method rather than a data processing apparatus and rejected for the same reasons as applied hereinabove.

Art Unit: 2169

24. **Regarding claim 18**, is essentially the same as claim 6 except that it sets forth the claimed invention as a method rather than a data processing apparatus and rejected for the same reasons as applied hereinabove.

25. **Regarding claim 19**, is essentially the same as claim 7 except that it sets forth the claimed invention as a method rather than a data processing apparatus and rejected for the same reasons as applied hereinabove.

26. **Regarding claim 20**, is essentially the same as claim 8 except that it sets forth the claimed invention as a method rather than a data processing apparatus and rejected for the same reasons as applied hereinabove.

27. **Regarding claim 21**, is essentially the same as claim 9 except that it sets forth the claimed invention as a method rather than a data processing apparatus and rejected for the same reasons as applied hereinabove.

28. **Regarding claim 22**, is essentially the same as claim 10 except that it sets forth the claimed invention as a method rather than a data processing apparatus and rejected for the same reasons as applied hereinabove.

Art Unit: 2169

29. **Regarding claim 23**, is essentially the same as claim 11 except that it sets forth the claimed invention as a method rather than a data processing apparatus and rejected for the same reasons as applied hereinabove.

30. **Regarding claim 24**, is essentially the same as claim 12 except that it sets forth the claimed invention as a method rather than a data processing apparatus and rejected for the same reasons as applied hereinabove.

31. **Regarding claim 25**, is essentially the same as claim 1 except that it sets forth the claimed invention as a computer-readable medium rather than a data processing apparatus and rejected for the same reasons as applied hereinabove.

32. **Regarding claim 26**, (Canceled).

### ***Conclusion***

33. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Truong V. Vo whose telephone number is (571) 272-1796. The examiner can normally be reached on Mon.-Thr. 7:30a.m.-5p.m..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Pierre Vital can be reached on (571) 272-4215. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2169

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

October 18, 2008

Truong Van Vo

/Truong V Vo/  
Examiner, Art Unit 2169

/Pierre M. Vital/  
Supervisory Patent Examiner, Art Unit 2169